



12-28-01

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,392	06/01/2001	Rian R. Maloney	021768.1089

CONFIRMATION NO. 2875

FORMALITIES LETTER



\*OC000000006372024\*

Tara D. Knapp, Esq.  
Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, TX 75201-2980

Date Mailed: 08/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

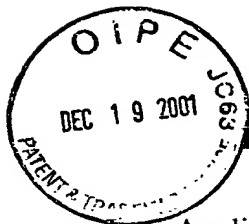
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/31/2001 JADD01 00000070 09872392

02 FC:105

130.00 DP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Rian R. Maloney

Serial No.:

09/872,392

Filing Date:

June 1, 2001

Group Art Unit:

Unknown

Title:

METHOD AND SYSTEM FOR PROCESSING  
IMAGES FOR A CHECK SORTER

BOX MISSING PARTS

Assistant Commissioner for

Patents

Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application mailed August 1, 2001. Applicant submits herewith:

(a) A copy of the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted.

(b) A Declaration and Power of Attorney signed by Rian R. Maloney for the above-referenced patent application, which was filed June 1, 2001.

(c) An Assignment signed by Rian R. Maloney, along with an Assignment cover sheet, for the above-referenced patent application.

Applicant has enclosed a check in the amount of \$130.00 to cover the cost of this Response. Applicant also requests an extension of time of three (3) months, and a Notification of Extension of Time Under 37 C.F.R. §1.136 is enclosed. The Commissioner is hereby authorized to charge any extra fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicant

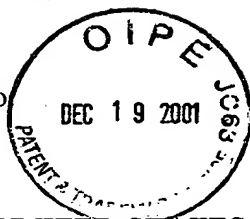


David M. Doyle  
Reg. No. 43,596

Please send correspondence to:  
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2001 Ross Avenue  
Dallas, TX 75201-2980  
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Date: 12/18/01

Attorney's Docket No.  
021768.1089



Patent Application  
09/872,392

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rian R. Maloney  
Serial No.: 09/872,392  
Filing Date: June 1, 2001  
Group Art Unit: Unknown  
Title: METHOD AND SYSTEM FOR PROCESSING  
IMAGES FOR A CHECK SORTER

BOX MISSING PARTS  
Assistant Commissioner of  
Patents  
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response To Notice To File Missing Parts Of Nonprovisional Application (2 pages), a check in the amount of \$130.00 to cover the cost of this Response, Notice To File Missing Parts Of Nonprovisional Application (1 page), Notification of Extension of Time Under 37 C.F.R. § 1.136, a check in the amount of \$920.00 to cover the extension filing fee, Assignment Recordation Form and Assignment (3 pages), a check in the amount of \$40.00 to cover the Assignment recordal fee, Declaration And Power Of Attorney (3 pages), Baker Botts return post card (1 post card) and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 19th day of December, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

*Willie Jiles*  
\_\_\_\_\_  
Willie Jiles

Express Mail Receipt: EL 759181426 US  
Attorney's Docket No.: 021768.1089